

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Jeffrey L. Cleland, Xanthe M. Lam, and Franklin Okumu  
Assignee: Genentech, Inc.  
Title: INJECTION VEHICLE FOR POLYMER-BASED FORMULATIONS  
Serial No.: 09/687,951 Filing Date: October 13, 2000  
Examiner: Not assigned yet Group Art Unit: Not assigned yet  
Docket No.: M-9177 US



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San Jose, California

COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

LAW OFFICES OF  
SKJERVEN MORRILL  
MacPHERSON LLP  
25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 453-9200  
FAX (408) 453-7979

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on Jan. 18, 2001.

Emily M. Haliday Jan. 18 2001  
Emily M. Haliday  
Attorney for Applicant(s) Date of Signature

Respectfully submitted,

Emily M. Haliday  
Emily M. Haliday  
Attorney for Applicant(s)  
Reg. No. 38,903